

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete If Known</b>	
				Application Number	10/078,386-Conf. #5842
				Filing Date	February 20, 2002
				First Named Inventor	Clifford F. Sharp
				Art Unit	2134
				Examiner Name	D. Y. Jung
Sheet	1	of	1	Attorney Docket Number	58895/P001CP1CP1/10111610

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

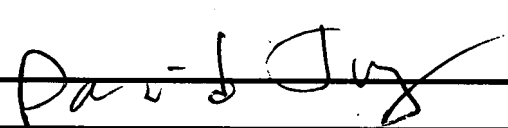
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>4</sup> -Number <sup>4</sup> -Kind Code <sup>4</sup> (if known)				
	BA	JP 05-227,216	08-03-1993	Toshiba Corp.		
	BB	JP-10-233-779 / US 6,222,839	09-02-1998	Ok Electric		
	BC	WO 2001/13589 / US 7,051,369	02-22-2001	Baba, Yoshimi		

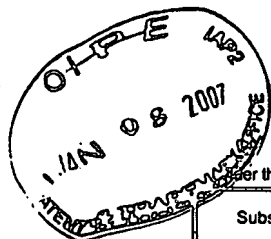
\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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	CA	Japanese Examination Report issued for JP 2003-501,888; dated March 28, 2006.	

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	2
Complete if Known			
Application Number	10/078,386		
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		Number-Kind Code <sup>2</sup> (if known)			
[Signature]	AA	US-6,275,942	08-14-2001	Bernhard et al.	
	AB	US-5,919,258	07-06-1999	Kayashima et al.	
	AC	US-6,253,337	06-26-2001	Maloney et al.	
	AD	US-6,219,768	04-17-2001	Hirabayashi et al.	
	AE	US-5,557,742-B1	09-17-1996	Smaha et al	

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		Country Code <sup>2</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	-WO/0003467	01-20-2000	ASM America Inc.		
	BB	-WO/0034867	06-15-2000	Network ICE Corporation		

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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	CA	Schnackenberg et al., "Infrastructure for Intrusion Detection and Response", Proceedings for the DARPA Information Survivability Conference and Exposition (DISCEX) 2000, held in Hilton Head, S.C., January 25-27, 2000, 9 pgs.		
	CB	Zilka, Kevin, "Protest Under 37 C.F.R. 1.291(a), In re application of : Susan P. Dark, Application No: 09/572,112, date 3/25/2005, 3 ppgs.		
	CC	Zilka, Kevin, "Protest Under 37 C.F.R. 1.291(a), In re application of : Susan P. Dark, Application No: 09/572,112, date 3/25/2005, Appendix A, 7 ppgs.		
	CD	Zilka, Kevin, "Protest Under 37 C.F.R. 1.291(a), In re application of : Susan P. Dark, Application No: 09/572,112, date 3/25/2005, Appendix B, 19 ppgs.		
	CE	Zilka, Kevin, "Protest Under 37 C.F.R. 1.291(a), In re application of : Susan P. Dark, Application No: 09/572,112, date 3/25/2005, Appendix C, 25 ppgs.		
	CF	Zilka, Kevin, "Protest Under 37 C.F.R. 1.291(a), In re application of : Susan P. Dark, Application No: 09/572,112, date 3/25/2005, Appendix D, 12 ppgs.		
	CG	Zilka, Kevin, "Protest Under 37 C.F.R. 1.291(a), In re application of : Susan P. Dark, Application No: 09/572,112, date 3/25/2005, Appendix E, 12 ppgs.		
	CH	Zilka, Kevin, "Protest Under 37 C.F.R. 1.291(a), In re application of : Susan P. Dark, Application No: 09/572,112, date 3/25/2005, Appendix F, 8 ppgs.		
	CI	Zilka, Kevin, "Protest Under 37 C.F.R. 1.291(a), In re application of : Susan P. Dark, Application No: 09/572,112, date 3/25/2005, Appendix G, 7 ppgs.		
	CJ	Zilka, Kevin, "Protest Under 37 C.F.R. 1.291(a), In re application of : Susan P. Dark, Application No: 09/572,112, date 3/25/2005, Appendix H, 10 ppgs.		
		CK	Zilka, Kevin, "Protest Under 37 C.F.R. 1.291(a), In re application of : Susan P. Dark, Application No: 09/572,112, date 3/25/2005, Appendix I, 11 ppgs.	

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				Filing Date	February 20, 2002
				First Named Inventor	Clifford F. Sharp
				Art Unit	2134
				Examiner Name	D. Y. Jung
Sheet	2	of	2	Attorney Docket Number	58895/P001CP1CP1/10111610

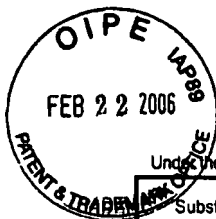
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	10/078,386
Filing Date	February 20, 2002
First Named Inventor	Clifford F. Sharp
Art Unit	2134
Examiner Name	David Jung
Attorney Docket Number	58895/P001CP1CP1/10111610

Sheet	1	of	1
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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-5,828,846	Oct. 1998	Kirby et al.	
		US-6,246,687	June 2001	Siu, Kai-Yeung S.	
		US-6,327,625	Dec. 2001	Wang et al.	
		US-6,370,648	April 2002	Diep, Thanh A.	
		US-6,550,012	April 2003	Villa et al.	
		US-6,598,034	July 2003	Kloth, Axel K.	
		US-6,615,358	Sept. 2003	Dowd et al.	
		US-6,711,127	March 2004	Gorman et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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				Filing Date	February 20, 2002
				First Named Inventor	Clifford Sharp
				Art Unit	2134
				Examiner Name	Jung, David Yiuk
Sheet	1	of	1	Attorney Docket Number	58895/P001CP1CP1/10111610

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	CA	Nikkei Communications, October 18, 1999, No. 304, p. 101-109, p.185	
	CB	Nikkei Open Systems, January 15, 2000, No. 82, p.100-103, p. 321	
	CC	Japanese Office Action issued for Japanese Patent Application No. 2001-585,449 dated September 6, 2005.	

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		Number-Kind Code <sup>2</sup> (if known)			
[initials]	AA	US-6,119,236	09-12-2000	Shipley	<b>RECEIVED</b>  <b>FEB 19 2005</b>  Technology Center 2100
	AB	US-6,298,445	10-02-2001	Shostack, et al.	
	AC	US-6,301,668	10-09-2001	Gleichauf, et al.	
	AD	US-6,321,336	11-20-2001	Applegate, et al.	
	AE	US-6,279,113	08-21-2001	Valdya	
AF	US-6,219,786	04-17-2001	Cunningham, et al.		

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				Examiner Name	Not Yet Assigned
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	JP 08 186569 * (English Abstract)	July 16, 1996	Toshiba Corp.		

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	CA	ISHIBASHI, H. et al. "A Protection Method against Unauthorized Access and Address Spoofing for Open Network Access System." IEEE, US, vol. 1 of 2. Conf. 8, August 26, 2001, pp. 10-13.	
	CB	KANLAYASIRI, Urupoj, et al. "Detecting Denial of Service using BENEF Model: An Alternative Approach." Applied Network Research Group Department of Computer Engineering, Kasetsart University, Chatuchak, Bangkok, Thailand, February 2001, pp. 1-8.	
	CC	WHALEN, Sean, "An Introduction to Arp Spoofing." Revision 1, April 2001, pp. 1-6.	
	CD	"IP-Spoofing Demystified." Phrack Magazine, Vol. 7, Issue 48, File 14 of 18, June 1996, pp. 1-9.	
	CE	"Sleuth9." Datamation, [on-line] <a href="http://products.datamation.com/security/security/1011891069.html">http://products.datamation.com/security/security/1011891069.html</a> , retrieved on May 7, 2003. Pages 1&2.	
	CF	Partial International Search Report, dated May 26, 2003.	

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		Number-Kind Code <sup>2</sup> (if known)			
	AA	5,319,776	06-07-1994	Hile et al.	
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	AC	5,623,601	04-22-1997	Vu	
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			
	AP	WO 01/16664	03-08-2001	Recourse Technologies, Inc.	

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PTO/SB 08A (10-01)

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/078,386
				Filing Date	February 20, 2002
				First Named Inventor	Clifford F. Sharp
				Art Unit	2132
Examiner Name	Not Yet Assigned				
Sheet	1	of	1	Attorney Docket Number	58895/P001CP1CP1/10111610

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	6,222,856-	04-24-2001	Murali R. Krishnan et al.	
	AB	5,799,002-	08-25-1998	Murali R. Krishnan	

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	-WO 00/11841-	03-02-2000	Madge Networks Limited		

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	CA	PCT International Search Report (PCT/US02/17426) dated 2/28/2003	

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

### Complete if Known

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First Named Inventor	Clifford F. Sharp
Art Unit	N/A
Examiner Name	Not Yet Assigned
Attorney Docket Number	58895/P001CP1CP1/10111610

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		Number-Kind Code <sup>2</sup> (if known)			
	AA	5,787,253-	07-28-1998	McCreery et al.	
	AB	5,913,041-	08-15-1999	Ramanathan et al.	

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		Country Code <sup>4</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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	CA	PCT Search Report dated March 26, 2002 (PCT/US01/15108)	

Examiner Signature		Date Considered	2/7/02
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